

NOTE N-631

CAPILLARY WAVE SPECTRA FROM
SOUND REVERBERATION MEASUREMENTS

John J. Martin
INSTITUTE FOR DEFENSE ANALYSES
// Arlington, Virginia

September 1969

20100915208

INTERNAL AND RELEASABLE NOTE DISCLAIMER

Distribution of this document
is unlimited.

Any views expressed in this Note are those of the author.
They should not be interpreted as reflecting the views of
the Institute for Defense Analyses or the official opinion
or policy of any of its sponsors.



DEFENSE TECHNICAL INFORMATION CENTER

Information for the Defense Community

DTIC® has determined on 10/18/2010 that this Technical Document has the Distribution Statement checked below. The current distribution for this document can be found in the DTIC® Technical Report Database.

☒ **DISTRIBUTION STATEMENT A.** Approved for public release; distribution is unlimited.

☐ **© COPYRIGHTED;** U.S. Government or Federal Rights License. All other rights and uses except those permitted by copyright law are reserved by the copyright owner.

☐ **DISTRIBUTION STATEMENT B.** Distribution authorized to U.S. Government agencies only (fill in reason) (date of determination). Other requests for this document shall be referred to (insert controlling DoD office)

☐ **DISTRIBUTION STATEMENT C.** Distribution authorized to U.S. Government Agencies and their contractors (fill in reason) (date of determination). Other requests for this document shall be referred to (insert controlling DoD office)

☐ **DISTRIBUTION STATEMENT D.** Distribution authorized to the Department of Defense and U.S. DoD contractors only (fill in reason) (date of determination). Other requests shall be referred to (insert controlling DoD office).

☐ **DISTRIBUTION STATEMENT E.** Distribution authorized to DoD Components only (fill in reason) (date of determination). Other requests shall be referred to (insert controlling DoD office).

☐ **DISTRIBUTION STATEMENT F.** Further dissemination only as directed by (inserting controlling DoD office) (date of determination) or higher DoD authority.

Distribution Statement F is also used when a document does not contain a distribution statement and no distribution statement can be determined.

☐ **DISTRIBUTION STATEMENT X.** Distribution authorized to U.S. Government Agencies and private individuals or enterprises eligible to obtain export-controlled technical data in accordance with DoDD 5230.25; (date of determination). DoD Controlling Office is (insert controlling DoD office).

CAPILLARY WAVE SPECTRA FROM
SOUND REVERBERATION MEASUREMENTS

John J. Martin*

Institute for Defense Analyses

Arlington, Virginia

ABSTRACT

There is a unique relationship between sound wave scattering from a rough surface and its elevation spectrum. This relationship allows the use of available underwater sound reverberation measurements in the wave number range 0.025 to 2.5 radians/cm (5 to 55 radians/sec) to be used to develop sea surface capillary wave spectra. As an aside, optically measured surface slope spectra are converted to elevation spectra and these suggest the possible variability of capillary wave height spectra as well as a "cut-off" wavelength due to viscous effects.

Footnote to ABSTRACT

*Director, Systems Evaluation Division

Measurements of sea surface underwater sound reverberation, which depends upon sea surface elevation spectra, may, of course, be used to deduce those spectra. This paper summarizes the wave spectra aspects of such an analysis¹ and compares this with currently extant spectral representations.

To review briefly, the Neumann-Pierson spectrum^{2,3} for long, energy-containing gravity waves is given by

$$[E_z(\omega, v)]_2 = C_1 \omega^{-5} \exp(-2g^2 \omega^{-2} v^{-2}) \quad (1)$$

in which $[E_z(\omega, v)]_2$ is the two-dimensional elevation spectrum of surface wave heights, z ; ω is sea surface wave radian frequency; g is acceleration of gravity; v is wind speed; and C_1 is an arbitrary constant equal to $4.8 \times 10^4 \text{ cm}^2 \text{ sec}^{-5}$ in the applicable range of $\omega \leq \pi$ radians/sec.

At higher wave frequencies, Phillips⁴ gives on dimensional grounds the relationship

$$[E_z(\omega)]_2 = C_2 g^2 \omega^{-5} \quad (2)$$

for an equilibrium range in which C_2 is a dimensionless constant with the value 7.4×10^{-3} in the applicable range $4 < \omega < 12$ radians/sec.

In sufficiently deep water the (dispersion) relation⁵ between ω and wavenumber k is

$$\omega^2 = gk + \gamma k^3 / \rho \quad (3)$$

where γ is surface tension and ρ is water density. Furthermore, ω and k are related as

$$k \equiv \omega/c \quad (4)$$

where c is wave phase angle velocity. If in Eq. 3 $\gamma k^3/\rho \ll gk$ then gravity waves predominate, but when $\gamma k^3/\rho = O(gk)$ then capillary waves are effective. At $\gamma k^3/\rho = gk$, $k \cong 3.7$ radians/sec, i.e., $\omega \cong 83$ radians/sec, at which point the minimum phase velocity $c_{\min} \cong 23$ cm/sec.

Kuo⁶ has shown that relative underwater sound power reverberation J_S depends upon sea surface elevation as

$$J_S = 4k_r^4 \sin^4 \phi [E_Z(2k_r \cos \phi, v)]_2 \quad (5)$$

referred to 1 yd^2 of sea surface and normalized to a distance of 1 yd from the surface. In Eq. 5, k_r is sound wavenumber (= sound radian frequency/speed of sound), ϕ is the grazing angle of the sound at the surface and $[E_Z(k_r, \phi, v)]_2$ is the surface elevation spectrum dependent upon k_r , ϕ and v . To be useful in estimating spectra, reverberation measurements must have ϕ large enough so that sea surface sublayer turbulence does not scatter appreciably and small enough so that specular reflections from the surface are ineffective. In fact, for $30 \text{ deg} < \phi < 50 \text{ deg}$, these conditions are met. The analysis¹ of somewhat over 2400 acoustic reverberation measurements showed for $2.5 \leq v \leq 7.5 \text{ m/sec}$, and $0.025 \leq k \leq 2.5 \text{ radians/cm}$ that

$$[E_Z(k, v)]_2 = C_3 v^{2.36} k^{-3.67} \quad (6)$$

With v in m/sec, k in radians/cm, C_3 has a value 4×10^{-5} and units such that the units of $[E_Z(k, v)]_2$ are cm^2 . The $k^{-3.67}$ dependence in Eq. 6

is close to the k^{-4} dependence suggested by Phillips⁵ for both gravity and capillary waves. The analysis¹ indicates also that outside $2.5 \leq v \leq 7.5$ m/sec, the elevation spectrum of sea surface is substantially constant at the values given by Eq. 6 at these extremes.

As it is possible to relate $[E_Z(k)]_2$ and $[E_Z(\omega)]_2$ through the relationship

$$[E_Z(k)]_2 = [E_Z(\omega)]_2 (d\omega/dk) \quad (7)$$

where $d\omega/dk$ comes from Eq. 3, the spectra of Neumann-Pierson (Eq. 1), of Phillips (Eq. 2) and the present contribution (Eq. 6) are shown in Fig. 1 over their appropriate ranges. The Neumann-Pierson spectrum is not limited to $2.5 \leq v \leq 7.5$ m/sec. The agreement among these seems adequate to endow Eq. 6 with some merit.

As a part of the analysis of sound reverberation, the optically measured laboratory water surface slope (z') spectra of Cox⁷ were converted¹ from their one-dimensional form $(\omega/2\pi)[E_{z'}(\omega/2\pi, v)]_1$ to the form $[E_Z(k, v)]_2$. The results of this conversion are shown also in Fig. 1. The converted data of Cox are interesting in that they suggest much larger spectral densities in the vicinity of c_{\min} than the acoustic data of the open sea yield. In the laboratory measurements by Cox, the apparatus was both long and deep enough that the spectral densities are not boundary limited and this, taken with the reasonable agreement of these laboratory data at their low wavenumber extreme with the trends and magnitudes which Eqs. 1, 2, and 5 yield, suggests a mechanism for wave growth. The disparity between laboratory and open sea acoustic measurements might be explained by the fact that the laboratory water surface was kept especially clean, and contamination of open sea surface

inhibits roughness as Cox and Munk⁸ have shown. Furthermore, the acoustic data are at marginally large enough wavenumber to show the clean water effect even if it existed.

Another interesting aspect of Cox's data is the suggestion of rapid decrease of elevation spectral density in the vicinity of $k = 20$ to 50 radians/cm, somewhat analogous to the k^{-7} variation of turbulence theory⁹ near thermalization of eddies.

Thus the sea surface sound reverberation data analysis confirms the trends of both the Neumann-Pierson and Phillips spectra and predicts a wind speed dependence of spectral density for short gravity and capillary waves as $v^{2.36}$. The laboratory optical data indicate that for very clean surfaces some additional texture may result in capillary wave elevation spectra, but the sound data do not indicate this texture for the open sea. The optical data also suggest that the "cut-off" wavelength $\lambda = 2\pi k^{-1}$ is of the order of $2\pi(30 \text{ radians/cm})^{-1} \cong 2 \text{ mm}$ or so, as Phillips¹⁰ has suggested.

REFERENCES

1. Martin, J.J., "Acoustic reverberation at the sea surface: surface and sublayer spectra vis-à-vis scattering and reflection," Department of Defense Documentation Center (DDC) # AD645 541, 1966.
2. Neumann, G., "On ocean wave spectra and a new method of forecasting wind-generated sea," U.S. Army, Beach Erosion Board Tech. Mem. No. 43, 1953.
3. Pierson, W.J., "Wind generated gravity waves," Advances in Geophysics, 2, 93-178, 1955.
4. Phillips, O.M., "On some properties of the spectrum of wind-generated ocean waves," J. Marine Res., 16, 231-245, 1958.
5. Phillips, O.M., The dynamics of the upper ocean, Cambridge University Press, 1966.
6. Kuo, E.Y.T., "Wave scattering and transmission at irregular surfaces," J. Acoust. Soc. Am., 44, 2135-2142, 1964
7. Cox, C.S., "Measurement of slopes of high-frequency wind waves," J. Marine Res., 16, 199-225, 1958.
8. Cox, C.S., and W.H. Munk, "Measurement of the roughness of the sea surface from photographs of sun glitter," J. Opt. Soc. Am., 44, 838-850, 1954.
9. Hinze, J.O., Turbulence, McGraw-Hill, New York & London, 1959.
10. Phillips, O.M., Comments on a paper by Dr. Cox, J. Marine Res., 16, 226-230, 1958.

FIGURE

1. Two-Dimensional Elevation Spectrum vs Wavenumber.
Data are of Neumann-Pierson (N-P), Phillips (OMP),
Cox (CSC) and the Present Contribution (JJM) Over
Applicable Ranges.

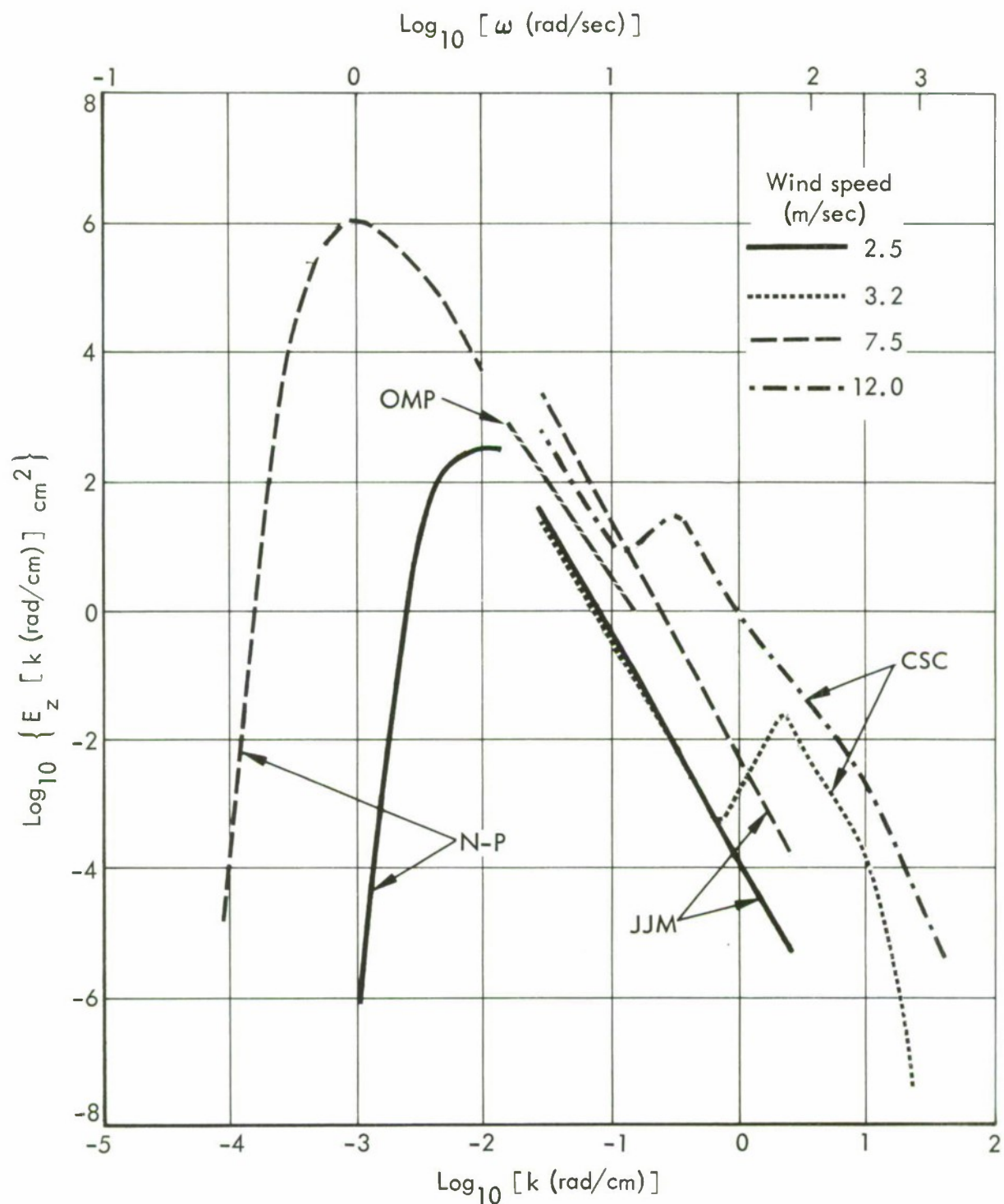


FIGURE 1. Two-Dimensional Elevation Spectrum vs Wavenumber. Data are of Neumann-Pierson (N-P), Phillips (OMP), Cox (CSC) and the Present Contribution (JJM) Over Applicable Ranges.

DISTRIBUTION LIST

	<u>No. of Copies</u>		<u>No. of Copies</u>
Commander Antisubmarine Defense Force U.S. Atlantic Fleet Norfolk 11, Virginia	1	Assistant Director of Defense Research and Engineering (Sea Warfare Systems) Pentagon, Room 3D1048 Washington 25, D. C.	2
Commander Antisubmarine Warfare Force U.S. Pacific Fleet Navy No. 128 c/o Fleet Post Office San Francisco, California	1	Director Advanced Research Projects Agency Pentagon, Room 3E160 Washington, D. C.	1
Naval Material Command SP00-1 Special Projects Office Washington 25, D. C. Attn: Dr. J. P. Craven Code RU-221	2	Technical Information Office Advanced Research Projects Agency Pentagon, Room 2B261 Washington 25, D. C.	1
Director, Naval Material Command Special Projects Office SP-43 Washington 25, D. C.	1	ASD (Systems Analysis) Pentagon, Room 3C860 Washington 25, D. C.	1
Chief, Naval Material Command Department of the Navy Undersea Warfare Office Washington 25, D. C. Attn: Code R-56	1	Director Weapons Systems Evaluation Group 400 Army-Navy Drive Arlington, Virginia	1
Naval Ship Systems Command Department of the Navy Washington 25, D. C. Attn: Code 688 (5 copies) Code 1622	6	Commanding Officer Fleet Sonar School Key West, Florida	1
Chief, Naval Ship System Command Environmental Effects & Oceanography Washington 25, D. C. Attn: Mr. B. K. Couper	1	Commanding Officer Fleet Training Center Norfolk, Virginia	1
Director of Defense Research and Engineering Pentagon, Room 3C128 Washington 25, D. C. Attn: Technical Library (1) Mr. Clements (3) Dr. Sebastyen (1)	5		

	<u>No. of Copies</u>		<u>No. of Copies</u>
U.S. Navy Oceanographic Office Suitland, Maryland ATTN: Cdr. John Frey W. H. Geddes	2	Director Ordnance Research Laboratory Pennsylvania State University University Park, Pennsylvania	1
Commanding Officer and Director U.S. Navy Underwater Sound Laboratory Fort Trumbull, New London, Conn. ATTN: Mr. S. A. Peterson	1	Director Applied Physics Laboratory University of Washington Seattle, Washington ATTN: Dr. G. R. Garrison	1
Woods Hole Oceanographic Institution Woods Hole, Massachusetts ATTN: Dr. J. B. Hersey	1	University of Texas Defense Research Laboratory 500 E. 24th Street Austin 12, Texas	5
Scripps Institution of Oceanography University of California LaJolla, California ATTN: Dr. C. Cox Dr. W. Munk	2	ATTN: G. R. Barnard J. L. Bardin C. W. Horton C. M. McKinney S. P. Pitt	
Institute of Marine Science University of Miami Miami, Florida ATTN: J. C. Steinberg	1		
AVCO Marine Electronics Office 33 Union Street New London, Connecticut ATTN: Dr. H. W. Marsh	1	Vice Adm. C. B. Martell Director of Antisubmarine Warfare Programs Office of the Chief of Naval Operations Room 5D569 Pentagon Washington, D. C.	2
Brown University Research Analysis Group 180 Hope Street Providence, Rhode Island	1	Admiralty Research Laboratory Teddington, England ATTN: Mr. D. E. Weston	1
Canadian Joint Staff Defense Research Board Member 2450 Massachusetts Avenue, N.W. Washington, D. C.	1	Defense Documentation Center Building 5 Cameron Station Alexandria, Virginia	20
Columbia University Hudson Laboratories 145 Palisade Street Dobbs Ferry, New York	1		
The Johns Hopkins University Applied Physics Laboratory 8621 Georgia Avenue Silver Spring, Maryland	1		

	<u>No. of Copies</u>		<u>No. of Copies</u>
Institute for Naval Studies 185 Alewife-Brook Parkway Cambridge 28, Massachusetts	1	Commanding Officer and Director U. S. Navy Mine Defense Laboratory Panama City, Florida	1
ATTN: Director		Commander	1
National Research Council Executive Secretary Committee on Undersea Warfare Washington, D.C.	1	U.S. Naval Air Development Center Johnsville, Pennsylvania	
Office of Naval Research Department of the Navy Washington, D.C.	4	ATTN: Dr. J. R. Howard	
ATTN: Mr. B. G. Bingham, Code 466 Mr. Morscher, Code 492 Mr. H. A. O'Neal, Code 467		Commanding Officer and Director David Taylor Model Basin Washington, D. C. 20007	1
Mr. J. W. Smith, Code 406T		ATTN: Dr. M. Strasberg	
Director North Atlantic Treaty Organization SACLANT ASW Research Center APO 09019, New York, New York	1	U.S. Naval Ordnance Laboratory White Oak, Maryland	2
Arthur D. Little, Inc. 35 Acorn Park Cambridge 40, Massachusetts	1	ATTN: Mr. B. L. Snavelly Mr. R. J. Urick	
Commanding Officer Office of Naval Research Branch Office 495 Summer Street Boston 10, Massachusetts	1	Commander, U.S. Naval Ordnance Test Station China Lake, California	3
ATTN: Dr. F. V. Hunt		ATTN: Code 753 (3 copies)	
Commander Submarine Development Group II Box 70, USN Submarine Base Groton, Connecticut	1	Director U.S. Naval Research Laboratory Washington 25, D. C.	3
Marine Physical Laboratory Scripps Institution of Oceanography University of California San Diego 52, California	1	ATTN: Mr. Burt Hurdle Mr. A. T. McClinton Mr. R. B. Patterson	
U.S. Naval Academy Science Department Annapolis, Maryland	1	Commanding Officer U.S. Naval Underwater Ordnance Station Newport, Rhode Island	1
ATTN: Weapons Department		Commander U.S. Naval Weapons Laboratory Dahlgren, Virginia	1
		Commanding Officer and Director U.S. Navy Electronics Laboratory San Diego 52, California	2
		ATTN: Mr. F. Hale Mr. K. V. MacKenzie	
		Director of Library U.S. Navy Postgraduate School Monterey, California	1

No. of Copies

Dr. T. G. Birdsall University of Michigan Ann Arbor, Mich.	1
R. H. Mathes U.S. Naval Research Lab. Washington, D. C.	1
Dr. W. A. Von Winkle U.S. Navy Underwater Sound Lab. New London, Conn.	1
Mr. J. Ewing Columbia University Lamont Geophysical Lab. Palisades, N. Y.	1
Mr. W. E. Hicks Pasadena Annex U.S. Navy Ordnance Test Station Pasadena, Calif.	1
Mr. S. R. Murphy Applied Physics Lab. University of Washington Seattle, Washington	1
A. J. Tickner, P-40 Naval Undersea Warfare Center Pasadena, Calif.	1
Dr. John J. Martin IDA, SED Director	1
Dr. Joshua Menkes IDA	1
Mr. A. J. Tachmindji IDA	1
Mr. Charles J. Loda IDA	1
Dr. W. D. Montgomery IDA	1
Classified Library IDA	1